

10/573812  
IAP5 Rec'd PCT/PTO 28 MAR 2006

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of

Inventors: Masahiro OSHIKIRI

Application No.: New PCT National Stage Application

Filed: March 28, 2006

For: SAMPLING RATE CONVERSION APPARATUS, CODING APPARATUS,  
DECODING APPARATUS AND METHODS THEREOF

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner of Patents  
Washington, DC 20231

Dear Sir:

Pursuant to Rules 56 and 99, Applicants hereby call the attention of the Patent Office to the art listed on the attached Form PTO 1449. Copies of the art cited in the International Search Report (ISR), which issued by the JPO, are made available to the U.S. examiner in the national stage application, pursuant to MPEP 1893.03(g), and therefore copies of such art are not submitted herewith. The art cited in the ISR is listed on the attached PTO-1449 for an indication of consideration by the examiner. Copies of any other references listed on the PTO-1449, besides those cited in the ISR, are submitted herewith. JP '788 corresponds to US '889, JP '811 corresponds to US '172, WO '436 corresponds to US '972, and JP '948 corresponds to US '209.

Applicants present this art so that the Patent Office may, in the first instance, determine any relevancy thereof to the

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /D.A./

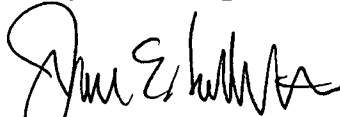
10/573812

IAP5 Rec'd PCT/PTO 28 MAR 2006

presently claimed invention, see Beckman Instruments, Inc. v. Chemtronics, Inc., 439 F.2d 1369, 1380, 165 USPQ 355, 364 (5th Cir. 1970). Also see Patent Office Rules 104 and 106.

Applicants respectfully request that this art be expressly considered during the prosecution of this application and made of record herein and appear among the "References Cited" on any patent to issue herefrom.

Respectfully submitted,



James E. Ledbetter  
Registration No. 28,732

Date: March 28, 2006

JEL/ejw

ATTORNEY DOCKET NO. L9289.06129

STEVENS, DAVIS, MILLER & MOSHER, L.L.P.  
1615 L STREET, NW, Suite 850  
WASHINGTON, DC 20043-4387  
Telephone: (202) 785-0100  
Facsimile: (202) 408-5200

FORM PTO-1449 U.S. Department of Commerce  
(Rev. 4/92) Patent and Trademark Office

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use several sheets if necessary)

ATTY. DOCKET NO.

L9289.06129

SERIAL NO.

Unassigned

10/573812

APPLICANT

Masahiro OSHIKIRI

FILING DATE

February 6, 2003

GROUP

3751

**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	2003 0 1 2 5 8 8 9	07/2003	Sato			
	5 9 8 3 1 7 2	11/1999	Takashima et al.			
	6 6 8 0 9 7 2	01/2004	Liljeryd et al.			
	6 6 8 1 2 0 9	01/2004	Schmidt et al.			

**FOREIGN PATENT DOCUMENTS**

DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION
					YES NO
2001 3 5 6 7 8 8	12/2001	JP			
0 9 1 5 3 8 1 1	06/1997	JP			
9 8 5 7 4 3 6	12/1998	WO			
2000 0 6 8 9 4 8	03/2000	JP			

**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**

International Search Report dated January 11, 2005.

Yuichiro Takamizawa et al., "MPEG-4 Audio Taiiki Kakucho Decoder Software no Kaihatsu," 2003 Nen The Institute of Electronics, Information and Communication Engineers Sogo Taikai Koen Ronbunshu, page 177, Mar. 3, 2003.

Masahiro Oshikiri et al., "Jikan - Shuhasu Ryoiki no Keisu no Tekio Sentaku Vector Ryoshika o Mochiita 10kHz Taiiki Scalable Fugoku Hoshiki," FIT 2003 Joho Kagaku Gijutsu Forum Koen Ronbunshu, pages 239-240, Aug. 25, 2003.

Masahiro Oshikiri et al., "Pitch Filtering ni Yoru Taiiki Kakucho Gijutsu o Mochiita 7/10/15kHz Taiiki Scalable Onsei Fugoku Hoshiki," The Acoustical Society of Japan (ASJ) 2004 Nen Shunkei Kenkyu Hapuyokai Koen Ronbunshu -I-, pages 327-328, Mar. 17, 2004.

EXAMINER /Daniel Abebe/ (01/30/2009)

DATE CONSIDERED

EXAMINER: Initial if citation is considered, draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

(Form PTO-1449 [6-4])

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /D.A./